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**III Semester M.Com. Degree Examination, March - 2021**

**COMMERCE**

**Financial Markets**

**(CBCS Scheme 2018-19)**

**Paper : FB-3.2**

**Time : 3 Hours**

**Maximum Marks : 70**

**SECTION - A**

Answer any 7 of the following sub-questions. Each sub-question carries 2 marks.

**(7×2=14)**

1. a) Expand OTCEI & NASDAQ
- b) Who are Merchant Bankers?
- c) What is screen based trading?
- d) Give the meaning of private placement.
- e) Mention any 2 functions of Registrar of issues.
- f) Define Insider Trading.
- g) State any 2 functions of under writers.
- h) Differentiate primary market and Secondary Market.
- i) What are ADRS.
- j) What do you mean by Dematerialization?

**SECTION - B**

Answer any 4 questions. Each question carries 5 marks.

**(4×5=20)**

2. Write a note on private placement trading mechanism.
3. Explain the functions of primary markets.
4. Explain in brief the functioning of London Stock Exchange.

**[P.T.O.]**





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5. State the guidelines for raising funds in international markets through various instruments.
6. Write a note on SEBI guidelines for Investor protection.
7. What are the various functions performed by Issue Managers?

### SECTION - C

Answer any 3 questions. Each question carries 12 marks.

(3×12=36)

8. Explain in detail listing requirements, membership and clearing - settlement procedure of New York stock exchange.
  9. What is Prospectus? Explain the different components of prospectus.
  10. Explain in detail the functioning of NSE & BSE in India.
  11. What is the role of Government in attracting finance from International markets?
  12. Explain in detail the pricing of new issues mechanism.
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